MERCURIO WEALTH ADVISORS

9100 Shelbyville Road, Suite 150, Louisville, KY 40222

Privacy Notice

FACTS	What does Mercurio Wealth Advisors ("MWA") do with Your Personal Information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include, but is not limited to: • Social Security number and income; • Assets and transaction history; and • Investment experience and risk tolerance. When you are *no longer* our client, we continue to share your information as described in this notice.
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Mercurio Wealth Advisors chooses to share and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR PESONAL INFORMATION	Does MWA share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	N/A
For our affiliates to market to you	No	N/A
For non-affiliates to market to you	No	N/A
If your Investment Advisor Representative leaves MWA, we may allow her/him to take your contact and account information in order to continue providing services to you, however, you may contact us at any time to limit our sharing.	Yes	Yes

To Limit our Sharing	**Please note:** If you are a new Client, we can begin sharing your information once you have signed the firm agreement. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing. By executing an Investment Advisory Agreement with MWA, the Client agrees to "opt-in" to this privacy policy.
Questions?	Please call us at (502) 253-9366 if you have questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.

Who we are	
Who is providing this notice?	**Mercurio Wealth Advisors**

WHAT WE DO	
How does MWA protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and buildings.
How does MWA collect my personal information?	We collect your personal contact information, for example, when you initially set an appointment and subsequently enter into an Investment Advisory Contract. We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law allows you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account that I hold jointly with someone else?	Your choices will apply to everyone on your account – unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Other Important Information
By signing and executing MWA's Agreement, I acknowledge that I have fully read and understand this Privacy Policy and opt-in as outlined above. I understand that if I have any questions or concerns about this policy, it is my responsibility to discuss this with my financial professional.