Privacy Notice

FACTS	What does Mercurio Wealth Advisors ("MWA") Do With Your Personal Information?
Why?	Investment Advisors and their representatives are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.
What?	We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include, but is not limited to: • Social Security number and Date of Birth • Mailing and/or legal address(es) and contact information including email address(es) • Investment experience and risk tolerance • Banking information, financial account numbers and/or balances • Sources of income, assets, account transactions, and credit card numbers or information When you are *no longer* our client, we may continue to share your information only as described in this notice.
When?	All Investment Advisors and their representatives may need to share personal information to run their everyday business. In the section below, we list the reasons we may share your personal information.

REASONS WE CAN SHARE YOUR PESONAL INFORMATION	Does MWA share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	N/A
For non-affiliates to market to you	No	N/A
If your Investment Advisor Representative leaves MWA, we may allow her/him to take your contact and account information in order to continue providing services to you, however, you may contact us at any time to limit our sharing.	Yes	Yes

WHAT WE DO	
How does MWA protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and buildings.
How does MWA collect my personal information?	We collect your personal contact information, for example, when you initially set an appointment and subsequently enter into an Investment Advisory Contract. We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law allows you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness, or sharing with affiliates or non-affiliates who use your information to market to you; neither of which MWA shares. State laws and individual companies may give you additional rights to limit sharing.
Questions?	Please call us at (502) 253-9366 if you have questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.